

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 128.00

## Complete if Known

Application Number 09/926,143

Filing Date September 10, 2001

First Named Inventor Haruhiko Hirose

Examiner Name K. Kruer

Art Unit 1773

Attorney Docket No. OGW-0043

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

18-0013

Deposit Account Name

Rader, Fishman & Grauer PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)** (\$) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	26	-25** =	1	x	18.00	=	18.00
Independent Claims	1	-3** =		x		=	0.00
Multiple Dependent				x		=	

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 18.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 110.00

## SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)	David T. Nikaido Carl Schaukewitch	Registration No. (Attorney/Agent)	22,663 29,211	Telephone	(202) 955-3750
Signature		Date	December 8, 2003		



<b>AMENDMENT TRANSMITTAL LETTER</b>				Docket No. OGW-0043	
Application No. 09/926,143	Filing Date September 10, 2001	Examiner K. Kruer	Art Unit 1773		
Applicant(s): Haruhiko HIROSUE, et al.					
Invention: MANUFACTURING AND APPARATUS FOR OPEN TYPE POLIMIDE MOLDING PRODUCTS AS WELL AS A REFLECTOR SUBSTRATE FOR USE IN ILLUMINATION EQUIPMENT TECHNICAL FIELD					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
<b>Total Claims</b>	26	- 25 =	1	x 18.00	18.00
<b>Independent Claims</b>	1	- 3 =		x	0.00
<b>Multiple Dependent Claims (check if applicable)</b> <input type="checkbox"/>					
<b>Other fee (please specify):</b> Extension for response within first month					110.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					128.00
<input checked="" type="checkbox"/> Large Entity <span style="margin-left: 200px;"><input type="checkbox"/> Small Entity</span>					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>18-0013</u> in the amount of \$ <u>128.00</u> . A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>18-0013</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 David T. Nikaido Attorney Reg. No.: 22,663				Dated: <u>December 8, 2003</u>	
Carl Schaukowitch Attorney Reg. No.: 29,211					
RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W. Suite 501 Washington, DC 20036 (202) 955-3750 Customer No. 23353					

**RECEIVED**  
DEC 11 2003  
TC 1700



PATENT APPLICATION

RESPONSE UNDER 37 C.F.R. §1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP 1773

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Haruhiko HIROSUE et al.

Application No.: 09/926,143

Filed: September 10, 2001

Attorney Docket No.: OGW-0043

Examiner: K. Kruer

Art Unit: 1773

Confirmation No.: 9383

For: MANUFACTURING METHOD AND APPARATUS FOR OPEN TYPE  
POLYIMIDE MOLDING PRODUCT, AS WELL AS REFLECTOR SUBSTRATE  
FOR USE IN ILLUMINATION EQUIPMENT

RECEIVED  
DEC 11 2003  
TC 1700

AMENDMENT AFTER FINAL REJECTION under 37 CFR 1.116

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**I. INTRODUCTORY COMMENTS**

In response to the final Office Action dated August 8, 2003, the period for response being extended to December 8, 2003, by the Petition for Extension of Time filed herewith, please amend the above-identified application as set forth below.

Claims 1-13 are pending in the application. By this Amendment, claims 1 and 2 are amended.

**II. AMENDMENTS TO THE DRAWINGS**

(There are no amendments to the drawings)

**III. AMENDMENTS TO THE SPECIFICATION**

(There are no amendments to the specification)